



Recd
#3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:)	
)	
KENICHI HARADA, ET AL.)	Title:
)	LIGHT DIFFUSION SHEET
Serial No: 10/080,460)	AND BACKLIGHT UNIT
)	USING THE SAME
Filed: February 22, 2002)	
)	
)	Group Art Unit: 2875
)	
Atty. Docket No. 19036/38223)	Examiner: Unknown

TRANSMITTAL OF EXECUTED DECLARATION

**Commissioner for Patents
Washington, D.C. 20231**

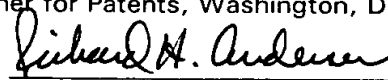
Attention: BOX MISSING PART

Sir:

Submitted herewith is an executed Declaration for filing in the above-identified application, in response to the Notice to File Missing Parts issued by the Patent and Trademark Office on March 12, 2002.

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on **March 22, 2002** in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.


Richard H. Anderson

Also enclosed is a copy of the Notice together with our check in the amount of \$65.00 in payment of the fee.

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 13-2855. A copy of this request is enclosed.

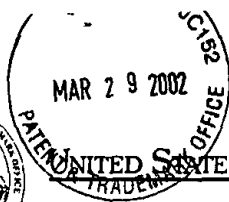
Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN
6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6357
(312) 474-6300

By: Richard H. Anderson
Richard H. Anderson
Reg. No: 26,526

March 22, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/080,460	02/22/2002	Kenichi Harada	19036/38223

CONFIRMATION NO. 8398

04743

 MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN
 6300 SEARS TOWER
 233 SOUTH WACKER DRIVE
 CHICAGO, IL 60606-6402

FORMALITIES LETTER



OC00000007620650

Date Mailed: 03/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Docketed: 5-12-02

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/02/2002 MBERHE 00000072 10080460

01 FC:205

65.00 DP



PATENT #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KENICHI HARADA, ET AL.

Serial No. 10/080,460

Filed: February 22, 2002

Title:
LIGHT DIFFUSION SHEET AND
BACKLIGHT UNIT USING THE SAME

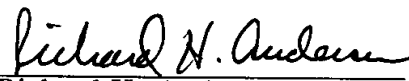
Atty. Docket No. 19036/38223

Group Art Unit: 2875

Examiner: Unknown

) I hereby certify that this paper
) and the documents referred to
) as enclosed therewith are being
) deposited with the United States
) Postal Service as first class mail,
) postage prepaid, in an envelope
) addressed to:
) Commissioner for Patents,
) Washington, D.C. 20231
) on

) March 22, 2002

) 
) Richard H. Anderson
) Reg. No. 26,526

Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested.

The errors are with respect to the following data which is incorrectly entered.

The errors appear in **Applicant(s)** section.

The city listed for each of the two named inventors is incorrect.

Namely, "Gobo-shi" and "Hidaka-gun" should read as -- Wakayama --.

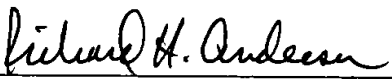
Serial No.: 10/080,460
Art Unit: 2875

- 2 -

The corrections are not due to any errors by Applicants and no fee is due.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

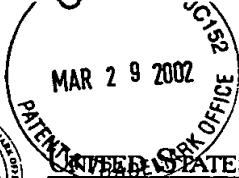
By: 
Richard H. Anderson
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Attorneys for Applicants

Chicago, Illinois

March 22, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/080,460	02/22/2002	2875	415	19036/38223	5	25	1

CONFIRMATION NO. 8398

04743

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CHICAGO, IL 60606-6402

FILING RECEIPT



MAR 19 2002

MARSHALL GERSTEIN

Date Mailed: 03/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kenichi Harada, Gobo-shi, JAPAN; Wakayama
Hitoshi Masaki, Hidaka-gun, JAPAN; Wakayama

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2001-46673 02/22/2001
JAPAN 2001-278033 09/13/2001

If Required, Foreign Filing License Granted 03/12/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Light diffusion sheet and backlight unit using the same

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).